



CT  
GRANT - 73C  
LOAN - CT 74C

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 7/93

IMPORTANT: Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

SUBDIVISION: Village of Newtown CODE# 061 - 33678

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 09 / 23 / 98

CONTACT: Bruce G. Brandstetter, P.E. PHONE # (513) 651-4224  
(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

PROJECT NAME: Route 32 Improvements

SUBDIVISION TYPE  
(Check Only 1)

- 1. County
- 2. City
- 3. Township
- 4. Village
- 5. Water/Sanitary District  
(Section 6119 O.R.C.)

FUNDING TYPE REQUESTED  
(Check All Requested & Enter Amount)

- 1. Grant \$ 792,000
  - 2. Loan \$ \_\_\_\_\_
  - 3. Loan Assistance \$ \_\_\_\_\_
- MBE SET-ASIDE OFFERED
- Construction \$ \_\_\_\_\_
  - Procurement \$ \_\_\_\_\_

PROJECT TYPE  
(Check Largest Component)

- 1. Road
- 2. Bridge/Culvert
- 3. Water Supply
- 4. Wastewater
- 5. Solid Waste
- 6. Stormwater

TOTAL PROJECT COST: \$ 990,000 FUNDING REQUESTED: \$ 792,000

DISTRICT RECOMMENDATION  
To be completed by the District Committee ONLY

GRANT: \$ 712,000  
LOAN: \$ 80,000

LOAN ASSISTANCE: \$ \_\_\_\_\_  
% \_\_\_\_\_ TERM: \_\_\_\_\_ yrs. (Attach Loan Supplement)

(Check Only 1)

- State Capital Improvement Program
- Local Transportation Improvements Program
- Small Government Program

DISTRICT MBE SET-ASIDE

- Construction \$ \_\_\_\_\_
- Procurement \$ \_\_\_\_\_

FOR OPWC USE ONLY

PROJECT NUMBER: C \_\_\_\_\_ /C \_\_\_\_\_  
Local Participation \_\_\_\_\_ %  
OPWC Participation \_\_\_\_\_ %  
Project Release Date: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
OPWC Approval: \_\_\_\_\_

APPROVED FUNDING: \$ \_\_\_\_\_  
Loan Interest Rate: \_\_\_\_\_  
Loan Term: \_\_\_\_\_ years  
Maturity Date: \_\_\_\_\_  
Date Approved: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

**1.0 PROJECT FINANCIAL INFORMATION**

**1.1 PROJECT ESTIMATED COSTS:**  
(Round to Nearest Dollar)

a.)	Project Engineering Costs:	
1.	Preliminary Engineering	\$ _____ .00
2.	Final Design	\$ _____ .00
3.	Other Engineer Services *	\$ _____ .00
	Supervision	\$ _____ .00
	Miscellaneous	\$ _____ .00
b.)	Acquisition Expenses:	
1.	Land	\$ _____ .00
2.	Right-of-Way	\$ _____ .00
c.)	Construction Costs:	\$990,000 .00
d.)	Equipment Purchased Directly:	\$ _____ .00
e.)	Other Direct Expenses:	\$ _____ .00
f.)	Contingencies:	\$ _____ .00
g.)	<b>TOTAL ESTIMATED COSTS:</b>	<b>\$990,000 .00</b>

	MBE \$	Force Account \$
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**1.2 PROJECT FINANCIAL RESOURCES:**  
(Round to Nearest Dollar and Percent)

			%
a.)	Local In-Kind Contributions	\$ _____ .00	_____
b.)	Local Public Revenues	\$ 98,000 .00	10
c.)	Local Private Revenues	\$ _____ .00	_____
d.)	Other Public Revenues		
	1. ODOT PID# _____	\$ _____ .00	_____
	2. EPA/OWDA	\$ _____ .00	_____
	3. OTHER MRF	\$100,000 .00	10
	<b>SUB TOTAL LOCAL RESOURCES:</b>	<b>\$198,000 .00</b>	<b>20</b>
e.)	OPWC Funds		
	1. Grant	\$792,000 .00	80
	2. Loan	\$ _____ .00	_____
	3. Loan Assistance	\$ _____ .00	_____
	<b>SUB TOTAL OPWC RESOURCES:</b>	<b>\$ 792,000 .00</b>	<b>80</b>
f.)	<b>TOTAL FINANCIAL RESOURCES:</b>	<b>\$ 990,000 .00</b>	<b>100%</b>

\*Other Engineer's Services must be outlined in detail on the required certified engineer's estimate.

**1.3 AVAILABILITY OF LOCAL FUNDS:**

Attach a summary from the Chief Financial Officer listed in section 5.2 listing all local share funds budgeted for the project and the date they are anticipated to be available.

**2.0 PROJECT INFORMATION**

**IMPORTANT:** If project is multi-jurisdictional, information must be consolidated in this section.

**2.1 PROJECT NAME:** Route 32 Improvements

**2.2 BRIEF PROJECT DESCRIPTION - (Sections a through d):**

**a: SPECIFIC LOCATION:**

The project extends from the intersection of Round Bottom Road to Little Dry Run Road.

**PROJECT ZIP CODE:** 45244

**b: PROJECT COMPONENTS:**

The project consists of the total reconstruction of the intersection of Round Bottom Road/Riverhills Drive/SR32. It also includes widening the pavement to three lanes, new pavement markings and signage.

**c: PHYSICAL DIMENSIONS / CHARACTERISTICS:**

The existing pavement is 28 to 30 feet wide. The new pavement shall be 36 feet wide. A center turn lane will be provided. Curb shall be provided at the intersection at Round Bottom Road along with storm drainage improvements.

**d: DESIGN SERVICE CAPACITY:**

**IMPORTANT:** Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include both current residential rates based on monthly usage of 7,756 gallon per household. Attach current rate ordinance.

This roadway has an ADT of 20,000.

(Source: Hamilton County Engineers Office, 1995 Count)

**2.3 USEFUL LIFE / COST ESTIMATE:** Project Useful Life: 15 Years.

Attach Registered Professional Engineer's statement, with original seal and signature certifying the project's useful life indicated above and estimated cost.

### 3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT	\$990,000	100%
State Funds Requested for Repair and Replacement	\$792,000	80%

TOTAL PORTION OF PROJECT NEW/EXPANSION	\$ -0-	%
State Funds Requested for New and Expansion	\$ -0-	%

### 4.0 PROJECT SCHEDULE:\*

	BEGIN DATE	END DATE
4.1 Engineering/Design:	<u>01/01/99</u>	<u>09/30/99</u>
4.2 Bid Advertisement:	<u>10/01/99</u>	<u>10/30/99</u>
4.3 Construction:	<u>11/01/99</u>	<u>10/30/2000</u>

\* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be approved in writing by the Commission once the Project Agreement has been executed. Dates should assume project agreement approval/release on July 1st. of the Program Year applied for.

### 5.0 APPLICANT INFORMATION:

#### 5.1 CHIEF EXECUTIVE OFFICER

Curt Cosby  
TITLE Mayor  
STREET 3536 Church Street  
Cincinnati, Ohio 45244  
PHONE (513 ) 561 - 7697  
FAX (513 ) 561 - 7917

#### 5.2 CHIEF FINANCIAL OFFICER

Sharon Moore  
TITLE Clerk/Treasurer  
STREET 3536 Church Street  
Cincinnati, Ohio 45244  
PHONE (513 ) 561 - 7697  
FAX (513 ) 561 - 7917

#### 5.3 PROJECT MANAGER

Bruce G. Brandstetter, P.E.  
TITLE Village Engineer  
STREET 424 East Fourth Street  
Cincinnati, Ohio 45202  
PHONE (513 ) 651 - 4224  
FAX (513 ) 651 - 0147

## 6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Check each section below, confirming that all required information is included in this application.

A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and execute contracts. (Attach)

A summary from the applicant's Chief Financial Officer listing all local share funds budgeted for the project and the date they are anticipated to be available. (Attach)

A registered professional engineer's estimate of projects useful life and cost estimate, as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimates shall contain engineer's original seal and signature. (Attach)

N/A A copy of the cooperation agreement(s) if this project involves more than one subdivision or district. (Attach)

Capital Improvements Report: (Required by 164 O.R.C. on standard form)

A: Attached.

B: Report/Update Filed with the Commission within the last twelve months.

N/A Floodplain Management Permit: Required if project is in 100 year floodplain. See Instructions.

Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), and other information to assist your district committee in ranking your project.

Photos/MRF Application

## 7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) that to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) that all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

**IMPORTANT:** Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

Gurt Cosby, Mayor

Certifying Representative (Type or Print Name and Title)

Gurt Cosby 9/25/98  
Signature/Date Signed



**Brandstetter Carroll Inc.**  
ARCHITECTS ENGINEERS PLANNERS

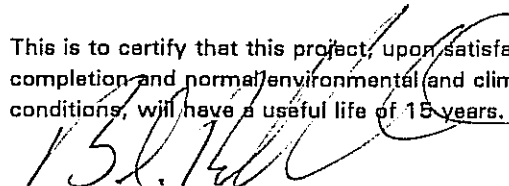
424 EAST 4th STREET, CINCINNATI, OHIO 45202  
513.651.4224 VOICE 513.651.0147 FAX

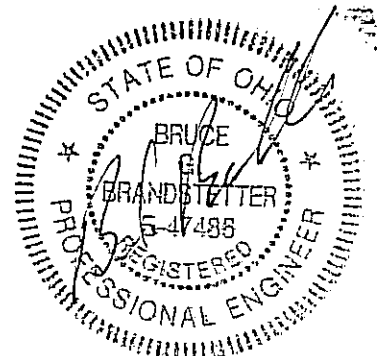
**OPINION OF PROBABLE COST**  
**SR 32, PHASE I**  
**VILLAGE OF NEWTOWN, OHIO**  
September 22, 1998  
98082

Clearing and Grubbing	1	L.S.	@	\$	7,000.00	\$7,000
Excavation	6050	C.Y.	@		\$20.00	\$121,000
Subgrade Compaction	9900	S.Y.	@		1.50	14,850
Pavement Planing	7900	S.Y.	@		2.00	15,800
2" Asphalt Surface	1380	C.Y.	@		75.00	103,500
Asphalt Surface (Driveway)	150	C.Y.	@		175.00	26,250
1" Leveling Course	350	C.Y.	@		75.00	26,250
Tack Coat	1755	Gal	@		1.00	1,755
Plain Concrete Pavement	700	S.Y.	@		40.00	28,000
Pavement Removal	700	S.Y.	@		10.00	7,000
Utility Adjustment to Grade	1	L.S.	@		24,000.00	24,000
Pavement Marking	0.76	Mile	@		2,000.00	1,520
Raised Pavement Markers	85	Each	@		40.00	2,800
Raised Pavement Markers Removed	85	Each	@		20.00	1,300
Maintaining Traffic	1	L.S.	@		20,000.00	20,000
Construction Layout Stakes	1	L.S.	@		10,000.00	10,000
Seeding and Mulching	13000	S.Y.	@		1.00	13,000
Topsoil	750	C.Y.	@		10.00	7,500
Drainage and Ditches	1	L.S.	@		85,000.00	85,000
Retaining Wall Remove and Rebuild	1	L.S.	@		20,000.00	20,000
Traffic Signal	1	L.S.	@		100,000.00	100,000
Enhancements	1	L.S.	@		25,000.00	25,000
Bituminous Aggregate Base	2750	C.Y.	@		60.00	165,000
Aggregate Base	450	C.Y.	@		40.00	18,000
						<b>Sub-Total</b>
						\$824,325
						<b>Contingencies @ 20%</b>
						\$164,865
						<b>\$989,190</b>
						<b>ROUND OFF @</b>
						<b>\$990,000</b>

D:\SCIP99\Hamilton\Newtown\SR32-I-2.xls(98082,98Fund)

This is to certify that this project, upon satisfactory completion and normal environmental and climatic conditions, will have a useful life of 15 years.

  
Bruce G. Brandstetter, P.E.





# Village Of Newtown

September 24, 1998

Ohio Public Works Commission  
65 East State Street, Suite 312  
Columbus, OH 43215

RE: Status of Funds Report  
1999 Share SCIP Application  
SR 32 Improvements  
Newtown, Ohio

It is hereby certified that the local matching funds for the above referenced project will be appropriated in the FY99 Budget. Should this project be funded in 1999, the Village will be prepared to meet the scheduling and deadlines stated within the applications.

Thank you for your time and consideration. Please call me if you have any questions.

Sincerely,

Sharon Moore  
Clerk-Treasurer

cc: Bruce Brandstetter, Village Engineer



Brandstetter Carroll Inc.  
ARCHITECTS ENGINEERS PLANNERS

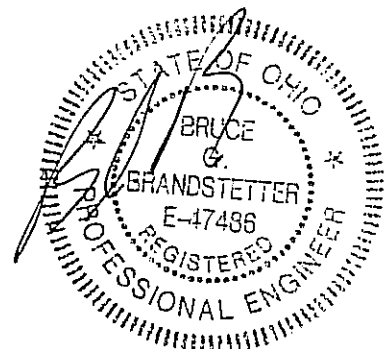
424 EAST 4th STREET, CINCINNATI, OHIO 45202  
513.651.4224 VOICE 513.651.0147 FAX

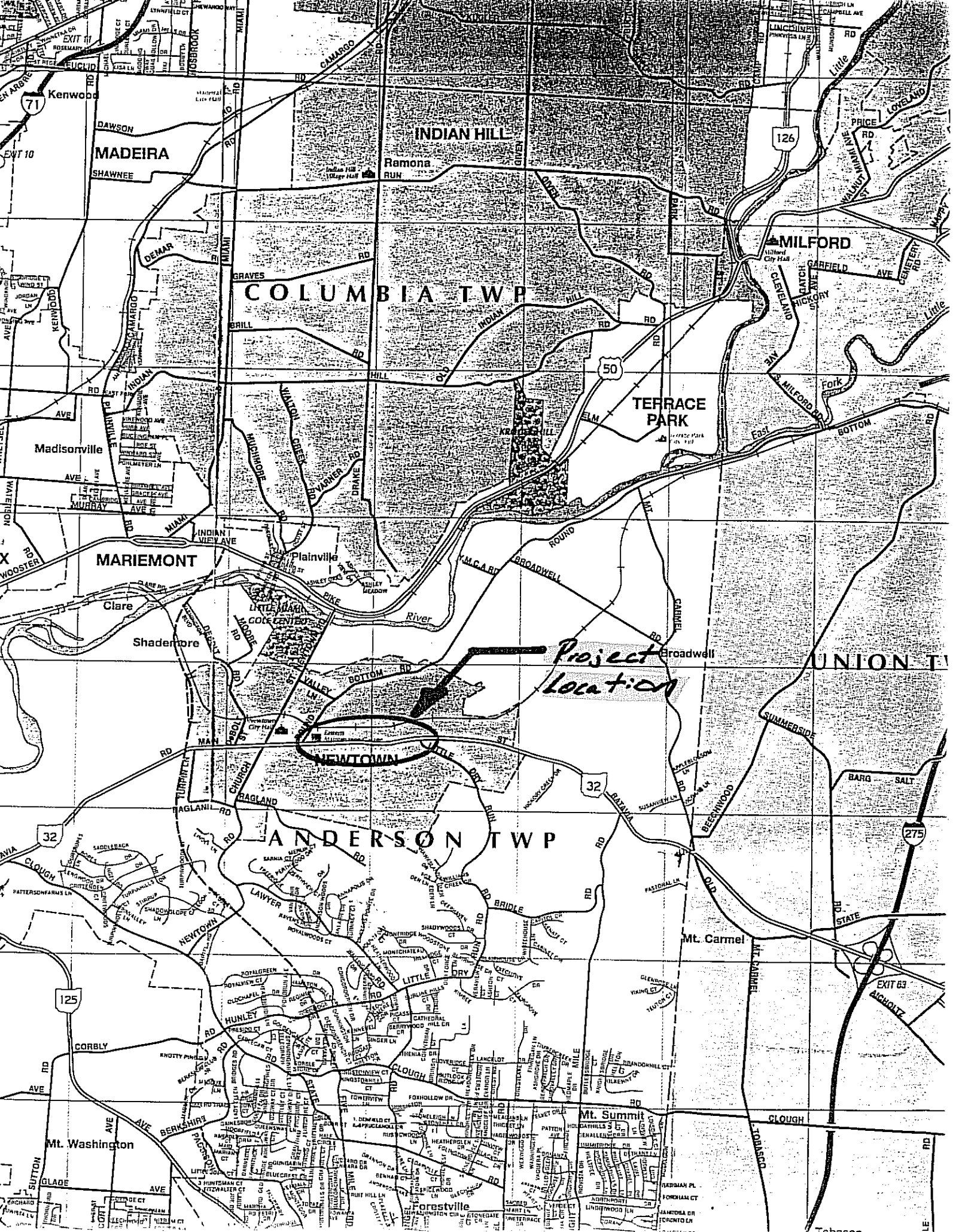
1999 SCIP Application  
Rt. 32 Improvements  
Newtown, OH  
98007

The traffic counts as outlined in this application represent a true and accurate count.

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Bruce G. Brandstetter, P.E.  
Vice President





INDIAN HILL

Ramona Run

COLUMBIA TWP

TERRACE PARK

MILFORD

MARIEMONT

Plainville

*Project Location*

NEW TOWN

ANDERSON TWP

UNION TWP

Mt. Carmel

Mt. Washington

Forestville

Mt. Summit

CLOUGH

EXIT 63

ALCHOLTZ

Actual copy of Manual Budget - 1777 1

City or Village of NEWTOWN  
HAMILTON County, Ohio  
 (Date) July 14, 1998

This Budget must be adopted by the Council or other legislative body on or before July 15th, and two copies must be submitted to the County Auditor on or before July 20th. FAILURE TO COMPLY WITH SEC. 5705.28 R. C. SHALL RESULT IN LOSS OF LOCAL GOVERNMENT FUND ALLOCATION.

To the Auditor of said County:  
 The following Budget year beginning January 1, 1999, has been adopted by Council and is herewith submitted for consideration of the County Budget Commission.

Signed Shawn Moore  
 Title Clerk - Treasurer

### SCHEDULE A

#### SUMMARY OF AMOUNTS REQUIRED FROM GENERAL PROPERTY TAX APPROVED BY BUDGET COMMISSION, AND COUNTY AUDITOR'S ESTIMATED RATES

For Municipal Use		For Budget Commission Use			For County Auditor Use	
FUND <small>(Include only those funds which are requesting general property tax revenue)</small>	Budget Year Amount Requested of Budget Commission Inside/ Outside	Budget Year Amount Approved by Budget Commission Inside 10 Mill Limitation	Budget Year Amount to be Derived From Levies Outside 10 Mill Limitation	County Auditor's estimate of Tax Rate to be Levied		
				Inside 10 Mill Limit Budget Year	Outside 10 Mill Limit Budget Year	
	Column 1	Column 2	Column 3	Column 4	Column 5	
GOVERNMENT FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	
GENERAL FUND	229,000					
<u>Fire Fund</u>	276,000					
PROPRIETARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	
FIDUCIARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	
TOTAL ALL FUNDS	505,000					



This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1996 Actual (2)	For 1997 Actual (3)	Current Year Estimated for 1998 (4)	Budget Year Estimated for 1997 (5)
<b>REVENUES</b>				
Local Taxes				
General Property Tax — Real Estate	451,683	175,525	242,605	204,000
Tangible Personal Property Tax	31,262	32,721	39,330	25,000
Municipal Income Tax	717,000	707,000	750,000	780,000
Other Local Taxes				
Total Local Taxes	1,199,945	915,256	1,051,935	1,009,000
Intergovernmental Revenues				
State Shared Taxes and Permits				
Local Government	31,382	43,847	39,500	40,000
Estate Tax	540	22,680	1,000	1,000
Cigarette Tax	93	185	70	100
License Tax	10,551	3,771		
Liquor and Beer Permits	4,118	2,780	3,500	3,500
Gasoline Tax				
Library and Local Government Support Fund	4,423	4,655	6,300	6,000
Property Tax Allocation	292,051	151,805		
Other State Shared Taxes and Permits				3,500
Total State Shared Taxes and Permits	351,158	230,403	50,370	54,100
Federal Grants or Aid				
State Grants or Aid				
Other Grants or Aid				
Total Intergovernmental Revenues	351,158	230,403	50,370	54,100
Special Assessments				
Charges for Services	10,360	4,262	500	500
Fines, Licenses, and Permits	50,092	53,452	36,000	25,000
Miscellaneous	7,823	20,121	15,000	40,000
Other Financing Sources:				
Proceeds from Sale of Debt	15,095	16,635	2,000	2,000
Transfers	5,400	99,219	5,000	
Advances				
Other Sources	116,759	75,369	543,250	162,127
<b>TOTAL REVENUE</b>	<b>1,756,632</b>	<b>1,414,719</b>	<b>1,684,055</b>	<b>1,292,727</b>

ND NAME: GENERAL FUND  
 ND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

EXHIBIT I

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1996 Actual (2)	For 1997 Actual (3)	Current Year Estimated for 1998 (4)	Budget Year Estimated for 1999 (5)
<b>EXPENDITURES</b>				
Security of Persons and Property				
Personal Services	278,563	303,700	311,500	324,700
Travel Transportation	11,872	11,449	11,000	11,600
Contractual Services	44,790	33,866	45,800	43,600
Supplies and Materials	4,742	3,276	16,900	6,000
Capital Outlay			3,000	2,500
Total Security of Persons and Property	339,967	332,291	387,700	388,400
Public Health Services				
Personal Services				
Travel Transportation				
Contractual Services				
Supplies and Materials				
Capital Outlay				
Total Public Health Services				
Leisure Time Activities				
Personal Services				
Travel Transportation				
Contractual Services	7,682	44,318	8,300	7,800
Supplies and Materials	7,601	5,213	10,000	8,500
Capital Outlay				
Total Leisure Time Activities	15,283	49,531	18,300	16,300
Community Environment				
Personal Services				
Travel Transportation				
Contractual Services				
Supplies and Materials				
Capital Outlay				
Total Community Environment				
Basic Utility Services				
Personal Services				
Travel Transportation				
Contractual Services	83,660	96,900	90,500	92,500
Supplies and Materials				
Capital Outlay				
Total Basic Utility Services	83,660	96,900	90,500	92,500

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1996 Actual (2)	For 1997 Actual (3)	Current Year Estimated for 1998 (4)	Budget Year Estimated for 1999 (5)
Transportation				
Personal Services	74,951	82,351	84,350	94,300
Travel Transportation	8,169	7,150	6,500	10,500
Contractual Services	7,814	6,636	16,000	17,000
Supplies and Materials	12,771	14,006	15,000	15,500
Capital Outlay			9,000	5,000
Total Transportations	103,705	110,673	130,850	142,800
General Government				
Personal Services	75,548	87,018	88,500	90,000
Travel Transportation				
Contractual Services	127,411	193,377	191,550	167,000
Supplies and Materials	35,147	11,472	13,600	18,600
Capital Outlay			3,000	5,000
Total General Government	238,106	296,867	246,650	230,600
Debt Service				
Redemption of Principal				
Interest				
Other Debt Service				
Total Debt Service				
Other Uses of Funds				
Transfers	878,465	684,017	752,000	467,500
Advances				
Contingencies			35,000	50,000
Other Uses of Funds				20,000
Total Other Uses of Funds	878,465	684,017	787,000	537,500
<b>TOTAL EXPENDITURES</b>	<b>1,659,186</b>	<b>1,590,279</b>	<b>1,661,000</b>	<b>1,457,600</b>
Revenues over/(under) Expenditures	97,446	(93,776)	25,053	(164,875)
Beginning Unencumbered Balance	* 662,737	* 1,12,220	141,818	164,873
Ending Cash Fund Balance	760,183	518,444	167,873	±
Estimated Encumbrances (outstanding at year end)	147,963	376,626	±	±
Estimated Ending Unencumbered Fund Balance	612,220	141,818	167,873	±

\*Use Cash Balance

FUND NAME: Fire Fund

FUND TYPE/CLASSIFICATION: Special Revenue

produce as needed

To be used for any fund receiving property tax revenue except the General Fund.

DESCRIPTION (1)	For 1996 Actual (2)	For 1997 Actual (3)	Current Year Estimated for 1998 (4)	Budget Year Estimated for 1999 (5)
<b>REVENUE</b>	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
Property Tax	168,262	243,867	276,000	276,000
Miscellaneous	4,126	4,744	2,000	2,000
Transfer In		42,000		
<b>TOTAL REVENUE</b>	<b>172,388</b>	<b>248,611</b>	<b>278,000</b>	<b>278,000</b>
<b>EXPENDITURES</b>	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
Identify each program and object code at the same level shown on Exhibit I)	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
(PROGRAM) (OBJECT)	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
Security of Persons + Property	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
- Personal Services	103,465	119,857	100,750	115,000
- Travel Transportation	6,957	8,195	8,000	8,000
- Contractual Services	57,739	59,654	78,750	78,000
- Supplies & Materials	16,812	10,213	44,250	40,000
- Capital Outlay	11,316	48,881		
- Contingency			38,000	10,000
- Reserve Long Term			34,200	25,000
<b>TOTAL EXPENDITURES</b>	<b>201,289</b>	<b>286,820</b>	<b>303,950</b>	<b>276,000</b>
Revenues Over (Under) Expenditures	(28,901)	3,811	(25,950)	2,000
Beginning Unencumbered Fund Balance				
(Use Actual Cash Balance in Col. 2 and 3)	27,464	24,686	27,277	1,327
Ending Cash Fund Balance	24,686	28,497	1,327	3,327
Estimated Encumbrances (outstanding at end of year)		(1,220)		
Estimated Ending Unencumbered Fund Balance	24,686	27,277	1,327	3,327

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/77	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/77
				Personal Services	Other	Total	
GOVERNMENTAL:	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
SPECIAL SERVICE:	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Street Repair + Maint.		256,500	256,500	244,500	356,500	1,793	XXXXXXXXXX
State Highway		22,000	22,000	13,000	22,000		XXXXXXXXXX
Increase Tax		800,000	800,000	772,500	772,500		XXXXXXXXXX
Mayor's Court Computer		8,000	8,000	8,000	8,000		XXXXXXXXXX
Drug Offender Fine	500	500	1,000	100	100	850	XXXXXXXXXX
Resolving Incentive	1,000	2,400	9,500	3,000	3,000	6,400	XXXXXXXXXX
TOTAL SPECIAL REVENUE FUNDS	7,500	1,087,400	1,096,100	1,061,230	1,057,901	5,993	XXXXXXXXXX
DEBT SERVICE FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Fire Truck Lease/Purchase	237,111	5,000	242,111	92,919	92,919	149,192	XXXXXXXXXX
TOTAL DEBT SERVICE FUNDS	237,111	5,000	242,111	92,919	92,919	149,192	XXXXXXXXXX
CAPITAL PROJECT FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Capital Improvement Equipment Reserve		236,000	236,000	236,000	236,000		XXXXXXXXXX
		20,000	20,000	20,000	20,000		XXXXXXXXXX
TOTAL CAPITAL PROJECTS	0	256,000	256,000	256,000	256,000	0	XXXXXXXXXX

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/
				Personal Services	Other	Total	
PROPRIETARY: ENTERPRISE FUNDS	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX
TOTAL ENTERPRISE FUNDS							
INTERNAL SERVICE FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
TOTAL INTERNAL SERVICE FUNDS							
FIDUCIARY: TRUST AND AGENCY FUNDS <i>Lake Encumbrance</i> <i>Drug Education</i> <i>Respite Care</i>	XXXXXXXXXX XXXXXXXXXX 450 5,000	XXXXXXXXXX XXXXXXXXXX 50 500 5,710	XXXXXXXXXX XXXXXXXXXX 5,000 5,500 5,710	XXXXXXXXXX XXXXXXXXXX 150 2,500 5,710	XXXXXXXXXX XXXXXXXXXX 150 2,500 5,710	XXXXXXXXXX XXXXXXXXXX 750 3,000	XXXXXXXXXX XXXXXXXXXX 750 3,000
TOTAL TRUST AND AGENCY FUNDS	5,450	6,260	11,710	8,360	8,360	8,360	8,360
TOTAL FOR MEMORANDUM ONLY	250,061	1,356,660	1,606,721	26,677	1,718,507	1,745,186	161,535



**AUDITOR'S TAX BUDGET WORKSHEET**

Fiscal Year 1999

Taxing District Village of Newtown

Fiscal Officer Sharon Moore

Circle one: Township Clerk, Clerk/Treasurer, Director of Finance,  
City Auditor

Telephone # 561-7697 Fax # 561-7506

In order to properly identify Local Government Fund revenues, please identify your estimated receipts using the table below.

Local Government Fund:

Sales, Income, & Franchise	<u>30,000</u>
Financial Institutions	<u>1,500</u>
Revenue Assistance	<u>6,000</u>
TOTAL:	<u>37,500</u>
State - LGF	<u>12,000</u>
Library & Local Government Support Fund	<u>3,500</u>

Total the SIF, Financial Institutions, and Revenue Assistance, and enter this amount on the line titled "Local Government" on the tax budget. If your district receives Local Government dollars directly from the State enter this amount on the line above entitled "State Shared Taxes and Permits". Cross out this title and change it to "LGF-State"

# ADDITIONAL SUPPORT INFORMATION

For Program Year 1999 (July 1, 1999 through June 30, 2000), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed \_\_\_\_\_ Poor X  
Fair \_\_\_\_\_ Good \_\_\_\_\_

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

The existing road is narrow for the level of traffic. The intersection at Round Bottom Road and Riverhills Drive needs to be realigned.

- 2) If State Capital Improvement Program funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1999) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

6 weeks/~~months~~ (Circle one)

Are preliminary plans or engineering completed? Yes ~~XX~~

Are detailed construction plans completed? ~~YES~~ No

Are all right-of-way and easements acquired?\* Yes No N/A

\*Please answer the following if applicable:

No. of parcels needed for project: 2 Of these, how

many are Takes 2, Temporary \_\_\_\_\_, Permanent \_\_\_\_\_

On a separate sheet, explain the status of the ROW acquisition process of this project for any parcels not yet acquired.

Are all utility coordination's completed? ~~YES~~ No ~~XXXX~~

Give an estimate of time, in weeks or months, to complete any item above not yet completed. 4 months weeks/months

3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, commerce, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data.

This project improves the roadway capacity and allows for left turns into both the properties along SR 32. The intersection at Round Bottom Road will be realigned. These two factors overall improve the general safety and health of this area.

4) What types of funds are to be utilized for the local share for this project?

Federal	_____	ODOT	_____	Local	<u>X</u>
MRF	<u>X</u>	OWDA	_____	CDBG	_____
Other	_____				

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 7, 1998 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds is being committed to this project?

20 %

5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the approved legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban \_\_\_\_\_ Partial Ban \_\_\_\_\_ No Ban X

Will the ban be removed after the project is completed?

Yes \_\_\_\_\_ No \_\_\_\_\_

6) What is the total number of existing users that will benefit as a result of the proposed project?

ADT = 20,000 X 1.20 = 24,000 users/day

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

7) Has the jurisdiction developed a Five-Year Capital Improvement Plan as required in O.R.C., chapter 164?

Yes  X  No

8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This roadway serves Anderson Township and Clermont County as well as the Village of Newtown. This improvement is recommended as part of the Eastern Corridor Improvements.

9) For roadway betterment projects, provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS  D  Proposed LOS  C

If the proposed LOS is not "C" or better, explain why LOS "C" cannot be achieved. (Attach separate sheets if necessary.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

# SCIP/LTIP PROGRAM

## ROUND 13 - PROGRAM YEAR 1999

### PROJECT SELECTION CRITERIA

#### JULY 1, 1999 TO JUNE 30, 2000

JURISDICTION/AGENCY: NEWTON

NAME OF PROJECT: Road 52 IMPROVEMENT

PRELIMINARY SCORE FOR THIS PROJECT: 42

FINAL SCORE FOR THIS PROJECT: \_\_\_\_\_

RATING TEAM: 2

- |   | <u>POINTS</u>  |
|---|--|
| 1) If SCIP/LTIP funds are granted, when would the construction contract be awarded? <u>(See Addendum for definition of delinquency)</u> | <u>5</u>   |
| 5 Points - Will be under contract by end of 1999 and no delinquent projects in Rounds 10 & 11.  | <i>2 takes needed<br/>Detail Plans<br/>Completed</i> |
| 3 Points - Will be under contract by March 30, 2000 and/or Jurisdiction has had one delinquent project in Rounds 10 & 11.               |  |
| 0 Points - Will not be under contract by March 30, 2000 and/or Jurisdiction has had more than one delinquent project in Rounds 10 & 11. |  |
| 2) What is the physical condition of the existing infrastructure to be replaced or repaired? <u>(See Addendum for definitions)</u>      | <u>5</u>   |
| 25 Points - Failed  |  |
| 23 Points - Critical  |  |
| 20 Points - Very Poor   |  |
| 17 Points - Poor  |  |
| 15 Points - Moderately Poor   |  |
| 10 Points - Moderately Fair   |  |
| 5 Points - Fair Condition   |  |
| 0 Points - Good or Better   |  |

NOTE: If the infrastructure is in "good" or better condition, it will NOT be considered for SCIP/LTIP funding unless it is an expansion Project that will improve serviceability.

3) If the project is built, what will be its effect on the facility's serviceability? Documentation is required.

- 5 Points - Project design is for future demand.
- 4 Points - Project design is for partial future demand.
- 3 Points - Project design is for current demand.
- 2 Points - Project design is for minimal increase in capacity.
- 1 Point - Project design is for no increase in capacity.

*\*4*  
*Part of 6-22*  
*Call 10/2/21*  
*\*per Nish...*

4) How important is the project to HEALTH, SAFETY, AND WELFARE of the Public and the citizens of the District and/or service area? (See Addendum for definitions)

- 10 Points - Highly significant importance, with substantial impact on all 3 factors.
- 8 Points - Considerably significant importance, with substantial impact on 2 factors, or noticeable impact on all 3 factors.
- 6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors.
- 4 Points - Minimal importance, with noticeable impact on 1 factor
- 2 Points - No measurable impact

*SIGNIFICANT SINCE THEY DON'T MENTION WELFARE BY SPECIFIC NAME*

5) What is the overall economic health of the jurisdiction?

- 10 Points
- 8 Points
- 6 Points
- 4 Points
- 2 Points

6

6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required; however, up to 5 additional points will be awarded according to the Loan & Credit Enhancement scale as stated below. All grant-funded projects require a minimum of 10% matching funds. Points will be awarded according to the following schedule:

<u>Projects below \$1,000,000</u>	<u>Projects \$1M to \$2M</u>	<u>Projects above \$2M</u>
10 Pts - 50% or more	10 Pts - 60% or more	10 Pts - 70% or more
8 Pts - 40% to 49.99%	8 Pts - 50% to 59.99%	8 Pts - 60% to 69.99%
6 Pts - 30% to 39.99%	6 Pts - 40% to 49.99%	6 Pts - 50% to 59.99%
4 Pts - 20% to 29.99%	4 Pts - 30% to 39.99%	4 Pts - 40% to 49.99%
2 Pts - 10% to 19.99%	2 Pts - 20% to 29.99%	2 Pts - 30% to 39.99%
	0 Pts - 10% to 19.99%	0 Pts - 10% to 29.99%

Loans & Credit Enhancements

- 5 Pts - 50% or more
- 4 Pts - 40% to 49.99%
- 3 Pts - 30% to 39.99%
- 2 Pts - 20% to 29.99%
- 1 Pt - 10% to 19.99%

4

7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? *POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.*

- 5 Points - Complete ban
- 3 Points - Partial ban
- 0 Points - No ban of any kind

0

8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided.

- 5 Points - 16,000 or more
- 4 Points - 12,000 to 15,999
- 3 Points - 8,000 to 11,999
- 2 Points - 4,000 to 7,999
- 1 Point - 3,999 and under

5  
24,000 (cert)

9) Does the infrastructure have regional impact? Consider originations and destinations of traffic, functional classifications, size of service area, number of jurisdictions served, etc. (See Addendum for definitions)

- 5 Points - Major impact
- 4 Points -
- 3 Points - Moderate impact
- 2 Points -
- 1 Point - Minimal or no impact

*CONNECTS W/  
I-275, BUT 1 WAY  
DOWN THE ROAD.*

4

10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure and provided certification of which fees have been enacted?

- 5 Points - Two of the above
- 3 Points - One of the above
- 0 Points - None of the above

3

# **ADDENDUM TO THE RATING SYSTEM**

## **DEFINITIONS/CLARIFICATIONS**

### **Criterion 1 - ABILITY TO PROCEED**

The Support Staff will assign points based on engineering experience and OPWC defined delinquent projects. A project will be considered delinquent when any of the following occurs: 1) A letter is sent from the OPWC to the affected jurisdiction stating that the project has not moved in accordance with the time frame listed on the application (copies are sent to the District); or 2) no time extension has been granted by the OPWC; or 3) A jurisdiction receiving approval for a project subsequently terminates the same after the bid date on the application. The OPWC sends a letter to a jurisdiction which announces that its' project is going to be terminated when the project is sixty (60) days beyond the bid date shown on the original application and a time extension for the project has not previously been requested or has been denied.

### **Criterion 2 - CONDITION**

Condition is based on the amount of deterioration that is *field verified* or documented exclusive of capacity, serviceability, or health, safety and welfare issues. Condition is rated only on the existing facility being repaired or abandoned. If the existing facility is not being abandoned or repaired, but a new facility is being built, it shall be considered as an expansion project. (Documentation may include ODOT BR-86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included with the original application.)

#### **Definitions:**

**FAILED CONDITION** - Requires complete reconstruction where no part of the existing facility is salvageable. (E.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: no part of the bridge can be salvaged; Underground: removal and replacement of an underground drainage or water system; Hydrants: completely non-functioning and replacement parts are unavailable.)

**CRITICAL CONDITION** - Requires moderate or partial reconstruction to maintain integrity. (E.g. Roads: reconstruction of roadway, curbs can be saved; Bridges: only the substructure can be salvaged with modifications; Underground: removal and replacement of part of an underground drainage or water system; Hydrants: some non-functioning, others obsolete and replacement parts are unavailable.)

**VERY POOR CONDITION** - Requires extensive rehabilitation to maintain integrity. (E.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: substructure and superstructure can be salvaged with extensive repairs; Underground: repair of joints and/or minor replacement of pipe sections; Hydrants: non-functioning and replacement parts are available.)

**POOR CONDITION** - Requires standard rehabilitation to maintain integrity. (E.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: deck cannot be salvaged, substructure and superstructure need repair; Underground: insituform or other in ground repairs; Hydrants: functional, but leaking and replacement parts are unavailable.)

MODERATELY POOR CONDITION - Requires minor rehabilitation to maintain integrity. (E.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: deck can be salvaged with repairs and overlay; Hydrants: functional and replacement parts are available.)

MODERATELY FAIR CONDITION - Requires extensive maintenance to maintain integrity. (E.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: deck rehabilitation required, overlay not required.)

FAIR CONDITION - Requires routine maintenance to maintain integrity. (e.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor rehabilitation required.)

GOOD OR BETTER CONDITION - Little or no maintenance required to maintain integrity; Bridges: no work required.

Criterion 4 - *HEALTH, SAFETY & WELFARE*

*Definitions:*

SAFETY - The design of the project will prevent accidents, promote safer conditions, and eliminate or reduce the danger of risk, liability, or injury.

*EXAMPLES:* Widening existing roadway lanes to standard lane widths; Adding lanes to a roadway or bridge to increase capacity or alleviate congestion; replacing old or non-functioning hydrants; increasing capacity to a water system, etc.

HEALTH - The design of the project will improve the overall condition of the facility so as to reduce or eliminate disease; or correct concerns regarding the environmental health of the area.

*EXAMPLES:* Improving or adding storm drainage or sanitary facilities; replacing lead joints in water lines;

WELFARE - The design of the project will promote economic well-being and prosperity.

*EXAMPLES:* Project has the potential to improve business expansions or opportunities in the area; project will improve the quality of life in the area;

PLEASE NOTE: The examples listed above are NOT a complete list, but only a small sampling of situations that may be relevant to any given project. Each project is looked at on an individual basis to determine if any aspects of this rating category apply, and if so, to what severity level (minor or significant).

The severity and extent of the problem, as it relates to Health, Safety and Welfare, MUST be fully detailed by the applicant and apparent to the rating team. The Support Staff will not attempt to determine these issues on its own.

Without such detail the jurisdiction should expect a lower rating than the project may deserve.

Criterion 9 - REGIONAL IMPACT

Definitions:

MAJOR IMPACT - Roads: major multi-jurisdictional route, primary feed to an interstate, Federal Aid Primary routes; Underground: primary water or sewer main serving and entire system; Hydrants: multi-jurisdictional.

MODERATE IMPACT - Roads: principal thoroughfares, Federal Aid Urban routes; Underground: primary water or sewer main serving only part of a system; Hydrants: all hydrants in a local system serving only one jurisdiction.

MINIMAL/NO IMPACT - Roads: cul-de-sacs, subdivision streets; Underground: individual water or sewer main not part of a large system; Hydrants: only some hydrants in a local system serving only one jurisdiction.